

**Corporate Risk Register for Upper Witham, North East Lindsey, Witham First District and
Witham Third District Internal Drainage Boards.**

January 2021

No.	Risk	Plan Reduce / Share / Retain	Current Risk			DoT Direction of Travel
			L	I	T	
Objective 1: Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.						
1.1	Asset failure at the Board's Pumping Stations, of the Board maintained watercourses or the Board's plant and machinery	Reduce	3	3	9	↑
1.2	Risk of Operations failure due to lack of staffing or machinery resource, staff error or accident.	Reduce	3	3	9	↑
1.3	Unable to respond appropriately to an emergency event due to lack of resources	Share	3	3	9	↑
1.4	Reduction in income to the Board to maintain an appropriate provision of services (special levy, drainage rates income, highland water income, rechargeable works income & grants income).	Reduce	3	2	6	→
Objective 2: To conserve and enhance the environment wherever practical and possible, to ensure there is no net loss of biodiversity						
2.1	The 4 Boards do not deliver on the duty to protect and where practicable enhance the environment	Reduce	1	2	2	↓
Objective 3: To provide a safe and fulfilling working environment for all staff.						
3.1	Employees of the 4 boards contravene health and safety regulations and there is resulting personal injury or death to staff, a contractor or a member of the public with resulting reputational impact.	Reduce	2	3	6	↓
3.2	Potential claims against a Board resulting from accidents and incidents.	Reduce	2	3	6	→
3.3	Issues with Staff Retention	Reduce	2	2	4	↓
Objective 4: To provide a cost effective, value for money organisation and maintain financial records that are correct and comply with recommended accounting practice.						
4.1	Adverse internal and external audit opinions, non compliance with statutory requirements, loss of public confidence in any / all of the 4 Boards. Reputational impact.	Reduce	2	2	4	↓
4.2	Failure to collect income due to the Board, loss of income due to error or fraud.	Reduce	1	3	3	↓
4.3	Excessive Expenditure, beyond approved budgets	Reduce	1	1	1	↑
4.4	Loss of or damage to the Boards' assets due to theft, vandalism or damage.	Reduce	2	2	4	↑
4.5	Loss of data, ICT and Communications	Reduce	2	2	4	→
Objective 5: To ensure that all actions taken by each Board comply with current UK (and EU) legislation						
5.1	Non-compliance with legislation, regulations good practice resulting in prosecution, fines / penalties / sanctions and loss of confidence in the Board(s).	Reduce/ Share	1	2	2	→

IMPACT

CRITICAL	4	4	8	12	16
MAJOR	3	3	6	9	12
SIGNIFICANT	2	2	4	6	8
MINOR	1	1	2	3	4
		1	2	3	4

LIKELIHOOD VERY UNLIKELY POSSIBLE PROBABLE CERTAIN

L = LIKELIHOOD

I = IMPACT

T = TOTAL

↑	Risk Increasing
→	Risk staying static
↓	Risk Reducing